# Symbolic Execution and Advanced Test Coverage Criteria

#### Nikolai Kosmatov

joint work with Sébastien Bardin, Omar Chebaro, Mickaël Delahaye. . .

CEA, LIST, Software Security Lab Paris-Saclay, France

USE 2015, Oslo, June 23, 2015



#### Dynamic Symbolic Execution [dart,cute,pathcrawler,exe,sage,pex,klee,...]

- $\checkmark$  very powerful approach to white-box test generation
- √ many tools and many successful case-studies since mid 2000's
- √ arguably one of the most wide-spread use of formal methods in "common software" [SAGE at Microsoft]

### Dynamic Symbolic Execution [dart,cute,pathcrawler,exe,sage,pex,klee,...]

- √ very powerful approach to white-box test generation
- √ many tools and many successful case-studies since mid 2000's
- √ arguably one of the most wide-spread use of formal methods in "common software" [SAGE at Microsoft]

#### Symbolic Execution [King 70's]

- lacksquare consider a program P on input f v, and a given path  $f \sigma$
- a path predicate  $\varphi_{\sigma}$  for  $\sigma$  is a formula s.t. for any input v v satisfies  $\varphi_{\sigma} \Leftrightarrow P(v)$  follows  $\sigma$
- old idea, recently renewed interest [requires powerful solvers]

### Dynamic Symbolic Execution [dart,cute,pathcrawler,exe,sage,pex,klee,...]

- √ very powerful approach to white-box test generation
- √ many tools and many successful case-studies since mid 2000's
- √ arguably one of the most wide-spread use of formal methods
  in "common software" [SAGE at Microsoft]

#### Symbolic Execution [King 70's]

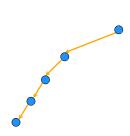
- lacksquare consider a program P on input v, and a given path  $\sigma$
- a path predicate  $\varphi_{\sigma}$  for  $\sigma$  is a formula s.t. for any input v v satisfies  $\varphi_{\sigma} \Leftrightarrow P(v)$  follows  $\sigma$
- old idea, recently renewed interest [requires powerful solvers]

#### Dynamic Symbolic Execution [Korel+, Williams+, Godefroid+]

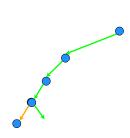
- interleaves dynamic and symbolic executions
- drives the search towards feasible paths for free
- gives hints for relevant under-approximations

- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma>$  into TS
- loop until no more paths to cover

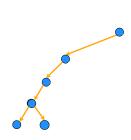
- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma>$  into TS
- loop until no more paths to cover



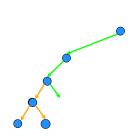
- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- if SAT(s) then add a new pair < s,  $\sigma >$  into TS
- loop until no more paths to cover



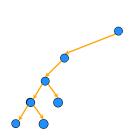
- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma >$  into TS
- loop until no more paths to cover



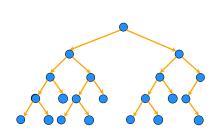
- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma >$  into TS
- loop until no more paths to cover



- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacksquare is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma >$  into TS
- loop until no more paths to cover



- pick an uncovered path  $\sigma \in Paths^{\leq k}(P)$
- lacktriangle is the path predicate  $arphi_\sigma$  satisfiable? [smt solver]
- lacksquare if SAT(s) then add a new pair < s,  $\sigma >$  into TS
- loop until no more paths to cover



### The problem

### Dynamic Symbolic Execution

- √ very powerful approach to white-box test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"

### The problem

### Dynamic Symbolic Execution

- √ very powerful approach to white-box test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"
- × lack of support for many coverage criteria

### The problem

### Dynamic Symbolic Execution

- $\checkmark$  very powerful approach to white-box test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"
- × lack of support for many coverage criteria

### Challenge: extend DSE to a large class of coverage criteria

- well-known problem
- recent efforts in this direction through instrumentation [Active Testing, Mutation DSE, Augmented DSE]
- limitations :
  - exponential explosion of the search space [APEX : 272x avg]
  - very implementation-centric mechanisms
  - unclear expressiveness

### Our proposal

#### Labels: a well-defined specification mechanism for coverage criteria

- based on predicates, can easily encode a large class of criteria
- w.r.t related work : semantic view, more formal treatment

#### **DSE\***: an efficient integration of labels into DSE

- no exponential blowup of the search space
- can be added to DSE in a black-box manner

#### Implem. in PathCrawler

- huge savings compared to existing approaches
- ▶ handles labels with a very low overhead (2x average, up to 7x)

[Bardin et al., ICST 2014, TAP 2014, ICST 2015]

### Outline

- Introduction
- Simulation of coverage criteria by labels
- Efficient DSE for labels
- Experiments
- Conclusion

### Labels and the notion of simulation

Given a program P, a label I is a pair  $(loc, \varphi)$ , where :

- $ullet \varphi$  is a well-defined predicate in P at location loc
- lacksquare  $\varphi$  contains no side-effect expression

#### Basic definitions

- **a** a test datum t covers l if P(t) reaches loc and satisfies  $\varphi$
- new criterion LC (label coverage) for annotated programs
- **a** a criterion **C** can be simulated by **LC** if for any P, after adding "appropriate" labels in P, TS covers **C**  $\Leftrightarrow$  TS covers **LC**.

Goal: show the relative expressiveness of LC

### Simulation of coverage criteria by labels : DC

```
statement_1;
if (x=y && a<b)
    {...};
statement_3;</pre>
statement_1;
// 11: x=y && a<b
// 12:!(x=y && a<b)
if (x==y && a<b)
    {...};
statement_3;
```

Decision Coverage (DC)

# Simulation of coverage criteria by labels : CC

```
statement_1;
if (x==y && a<b)
    {...};
statement_3;</pre>
statement_1;
// 11: x==y
// 12:!(x==y)
// 13: a<b
// 14:!(a<b)
if (x==y && a<b)
    {...};
statement_3;

statement_3;
```

Condition Coverage (CC)

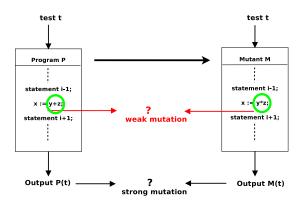
# Simulation of coverage criteria by labels : MCC

```
statement_1;
if (x==y && a<b)
{...};
statement_3;

statement_1;
// 11: x==y && a<b
// 12: x==y && a>=b
// 13: x!=y && a<b
// 14: x!=y && a>=b
if (x==y && a<b)
{...};
statement_3;
```

Multiple-Condition Coverage (MCC)

# Weak Mutation (WM) testing in a nutshel



- mutant M = syntactic modification of program P
- weakly covering  $M = \text{finding } t \text{ such that } P(t) \neq M(t) \text{ just after the mutation}$

# Simulation of coverage criteria by labels : WM

#### One label per mutant

#### Mutation inside a statement

- lhs := e  $\mapsto$  lhs := e'
  - ▶ add label :  $e \neq e'$
- lhs := e  $\mapsto$  lhs' := e
  - ▶ add label :  $\&lhs \neq \&lhs' \land (lhs \neq e \lor lhs' \neq e)$

#### Mutation inside a decision

- lacktriangledight if (cond')
  - ▶ add label :  $cond \oplus cond'$

#### Beware: no side-effect inside labels

### Simulation results

#### Theorem

The following coverage criteria can be simulated by LC : IC, DC, FC, CC, MCC, Input Domain Partition, Run-Time Errors.

#### **Theorem**

For any finite set O of side-effect free mutation operators,  $\mathbf{WM}_O$  can be simulated by  $\mathbf{LC}$ .

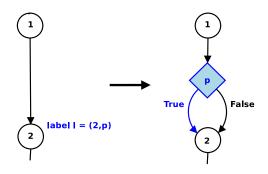
#### Goals

- ✓ GOAL1 : generic specification mechanism for coverage criteria
- ☐ GOAL2 : efficient integration into DSE

### Outline

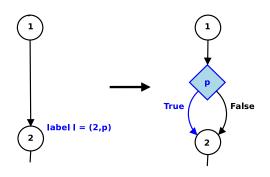
- Introduction
- Simulation of coverage criteria by labels
- Efficient DSE for labels
- Experiments
- Conclusion

### Direct instrumentation P' [APEX, Mutation DSE]



Covering label  $I \Leftrightarrow Covering branch True$ 

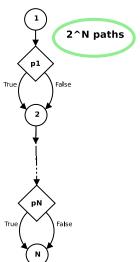
### Direct instrumentation P' [APEX, Mutation DSE]



Covering label I ⇔ Covering branch True

✓ sound & complete instrumentation w.r.t. LC

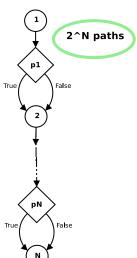
#### Direct instrumentation



### Non-tightness 1

 $\times$  P' has exponentially more paths than P

#### **Direct instrumentation**

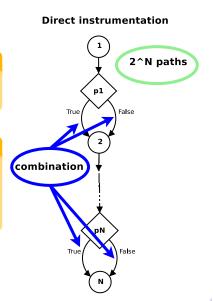


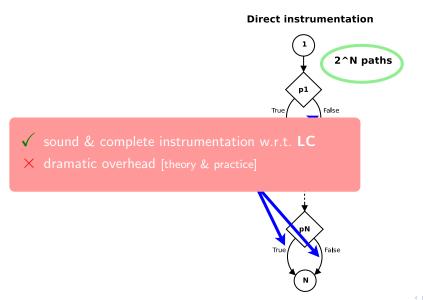
### Non-tightness 1

 $\times$  P' has exponentially more paths than P

#### Non-tightness 2

- $\times$  Paths in P' too complex
  - ▶ at each label, require to cover p or to cover ¬p
  - $\blacktriangleright \pi'$  covers up to N labels



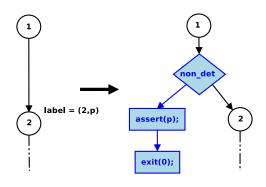


# Our approach

### The DSE\* algorithm

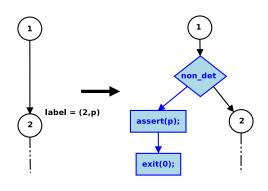
- Tight instrumentation  $P^*$ : totally prevents "complexification"
- Iterative Label Deletion : discards some redundant paths
- Both techniques can be implemented in a black-box manner

### $DSE^*$ : Tight Instrumentation $P^*$



Covering label  $I \Leftrightarrow Covering \ exit(0)$ 

### $DSE^*$ : Tight Instrumentation $P^*$

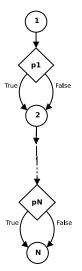


Covering label  $I \Leftrightarrow Covering \ exit(0)$ 

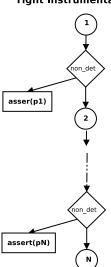
✓ sound & complete instrumentation w.r.t. LC

### DSE\* : Direct vs tight instrumentation, P' vs $P^*$

#### **Direct instrumentation**

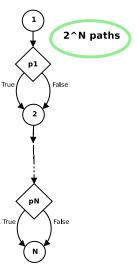


#### Tight Instrumentation

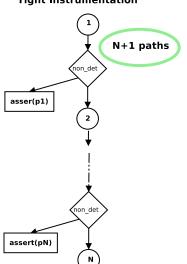


### DSE\* : Direct vs tight instrumentation, P' vs $P^*$

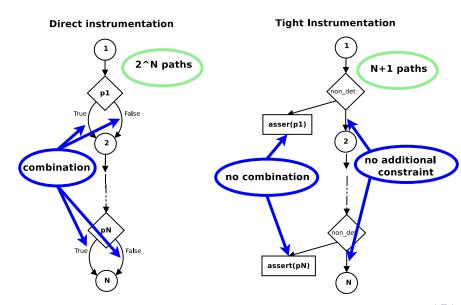
#### **Direct instrumentation**



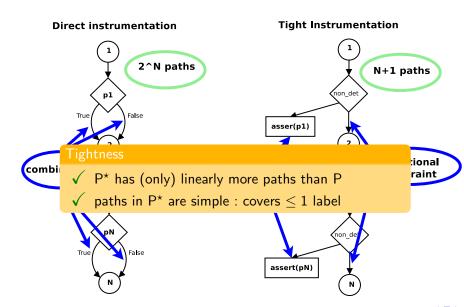
#### Tight Instrumentation



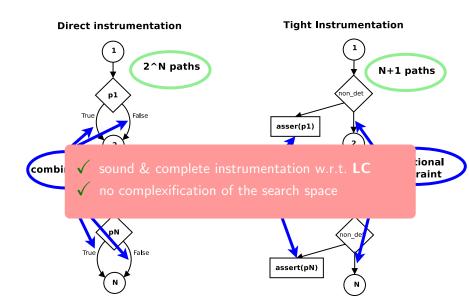
### DSE\* : Direct vs tight instrumentation, P' vs $P^*$



## DSE\* : Direct vs tight instrumentation, P' vs $P^*$



## DSE\* : Direct vs tight instrumentation, P' vs $P^*$



## DSE\*: Iterative Label Deletion

#### **Observations**

- we need to cover each label only once
- lacktriangle yet, DSE explores paths of P\* ending in already-covered labels
- we burden DSE with "useless" paths w.r.t. **LC**

## DSE\*: Iterative Label Deletion

#### Observations

- we need to cover each label only once
- yet, DSE explores paths of P\* ending in already-covered labels
- we burden DSE with "useless" paths w.r.t. LC

#### Solution: Iterative Label Deletion

- keep a covered/uncovered status for each label
- symbolic execution ignores paths ending in a covered label
- dynamic execution updates the status [truly requires DSE]

### **Implementation**

- **symbolic** part : a slight modification of  $P^*$
- dynamic part : a slight modification of P'

## DSE\*: Iterative Label Deletion

#### Observations

- we need to cover each label only once
- yet, DSE explores paths of P\* ending in already-covered labels
- we burden DSE with "useless" paths w.r.t. LC

#### Solution: Iterative Label Deletion

- keep a *covered/uncovered* status for each label
- symbolic execution ignores paths ending in a covered label
- dynamic execution updates the status [truly requires DSE]

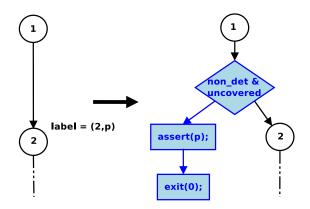
### Implementation

- symbolic part : a slight modification of  $P^*$
- dynamic part : a slight modification of P'

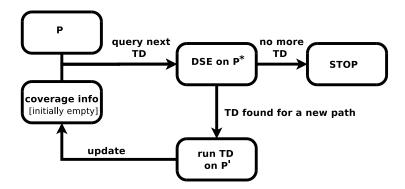
### Iterative Label Deletion is relatively complete w.r.t. LC



# DSE\*: Iterative Label Deletion (2)



## DSE\*: Iterative Label Deletion (3)



# Summary

## The DSE\* algorithm

- Tight instrumentation  $P^*$ : totally prevents "complexification"
- Iterative Label Deletion : discards some redundant paths
- Both techniques can be implemented in black-box

# Summary

## The DSE\* algorithm



## Outline

- Introduction
- Simulation of coverage criteria by labels
- Efficient DSE for labels
- Experiments
- Conclusion

## **Experiments**

### **Implementation**

- inside PATHCRAWLER
- follows DSE\*
- search heuristics : "label-first DFS"
- run in deterministic mode

### Goal of experiments

- evaluate DSE\* versus DSE'
- evaluate overhead of handling labels

## Benchmark programs

- 12 programs taken from standard DSE benchmarks (Siemens, Verisec, MediaBench) [beware : small programs]
- 3 coverage criteria : CC, MCC, WM [uncoverable labels not discarded]

- DSE': 4 timeouts (TO), max overhead 122x [excluding TO]
- DSE\* : no TO, max overhead 7x (average : 2.4x)
- on one example, 94s instead of a TO [1h30]
- DSE\* achieves very high **LC**-coverage [> 90% on 28/36]
- after a static analysis step for detection of uncoverable labels, it becomes even higher [> 99%]

- DSE': 4 timeouts (TO), max overhead 122x [excluding TO]
- DSE\* : no TO, max overhead 7x (average : 2.4x)
- on one example, 94s instead of a TO [1h30]
- DSE\* achieves very high **LC**-coverage [> 90% on 28/36]
- after a static analysis step for detection of uncoverable labels, it becomes even higher [> 99%]

- DSE': 4 timeouts (TO), max overhead 122x [excluding TO]
- DSE\* : no TO, max overhead 7x (average : 2.4x)
- on one example, 94s instead of a TO [1h30]
- DSE\* achieves very high **LC**-coverage [> 90% on 28/36]
- after a static analysis step for detection of uncoverable labels, it becomes even higher [> 99%]

#### Results

- DSE': 4 timeouts (TO), max overhead 122x [excluding TO]
- DSE\* : no TO, max overhead 7x (average : 2.4x)
- on one example, 94s instead of a TO [1h30]
- DSE\* achieves very high **LC**-coverage [> 90% on 28/36]
- after a static analysis step for detection of uncoverable labels, it becomes even higher [> 99%]

#### Conclusion

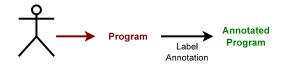
- DSE\* performs significantly better than DSE'
- The overhead of handling labels is kept reasonable
- still room for improvement

### A few detailed results

			DSE	DSE'	DSE*
utf8-5	wm	#paths	680	11,111	743
108 loc	84 <i>l</i>	time	2s	40s	8.1s
		cover		82/84	82/84
utf8-7	wm	#paths	3,069	81,133	3,265
108 loc	84 <i>l</i>	time	5.8s	576s	35s
		cover		82/84	82/84
tcas	wm	#paths	4,420	300,213	6,014
124 loc	111 /	time	5.6s	662s	27s
		cover		101/111	101/111
replace	wm	#paths	866	87,498	2,347
100 loc	79 <i>l</i>	time	2s	245s	14s
		cover		70/79	70/79
get_tag-6	сс	#paths	76,456		76,468
240 loc	20 /	time	3,011s	то	1,512s
		cover			20/20
	wm	#paths	76,456		76,481
	47 <i>I</i>	time	3,011s	то	1,463s
		cover			44/47
gd-5	wm	#paths	14,516		14,607
	63 /	time	50s	то	94s
		cover			62/63
gd-6	wm	#paths	107,410		107,521
	63 /	time	3,740s	то	2,232s
		cover			63/63

### Implementation on top of FRAMA-C

- Frama-C is a toolset for analysis of C programs
  - an extensible, open-source, plugin-oriented platform
  - offers value analysis (VA), weakest precondition (WP), specification language ACSL,...
- LTEST is open-source except test generation
  - ▶ based on the PATHCRAWLER test generation tool

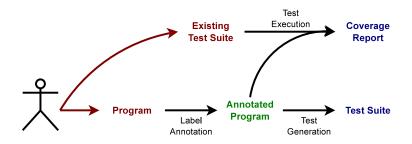


## Supported criteria

- DC, CC, MCC
- FC, IDC, WM

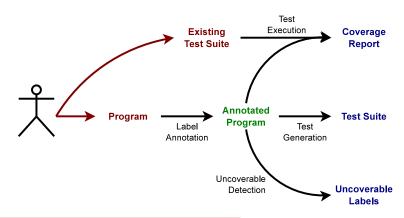
## Encoded with labels [ICST 2014]

- treated in a unified way
- easy to add new criteria



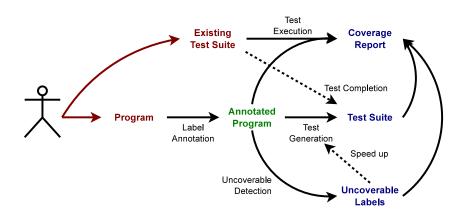
## DSE\* procedure [ICST 2014]

- DSE with native support for labels
- extension of PATHCRAWLER



Uses static analyzers from  $\operatorname{FRAMA-C}$ 

sound detection of uncoverable labels



Uses static analyzers from  $\operatorname{FRAMA-C}$ 

sound detection of uncoverable labels

## Service cooperation

- share label statuses
- Covered, Infeasible,?

## Outline

- Introduction
- Simulation of coverage criteria by labels
- Efficient DSE for labels
- Experiments
- Conclusion

### Goal = extend DSE to a large class of coverage criteria

- Labels : a well-defined and expressive specification mechanism for coverage criteria
- DSE\* : an efficient integration of labels into DSE
  - no exponential blowup of the search space
  - only a low overhead [huge savings w.r.t. related work]

### Goal = extend DSE to a large class of coverage criteria

#### Results

- Labels : a well-defined and expressive specification mechanism for coverage criteria
- DSE\* : an efficient integration of labels into DSE
  - no exponential blowup of the search space
  - only a low overhead [huge savings w.r.t. related work]

## Dynamic Symbolic Execution [dart, cute, exe, sage, pex, klee, ...]

- √ very powerful approach to (white box) test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"

### Goal = extend DSE to a large class of coverage criteria

#### Results

- Labels : a well-defined and expressive specification mechanism for coverage criteria
- DSE\* : an efficient integration of labels into DSE
  - no exponential blowup of the search space
  - only a low overhead [huge savings w.r.t. related work]

## Dynamic Symbolic Execution [dart, cute, exe, sage, pex, klee, ...]

- √ very powerful approach to (white box) test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"
- × support only basic coverage criteria



### Goal = extend DSE to a large class of coverage criteria

#### Results

- Labels : a well-defined and expressive specification mechanism for coverage criteria
- DSE\* : an efficient integration of labels into DSE
  - no exponential blowup of the search space
  - only a low overhead [huge savings w.r.t. related work]

## Dynamic Symbolic Execution [dart, cute, exe, sage, pex, klee, ...]

- √ very powerful approach to (white box) test generation
- √ arguably one of the most wide-spread use of formal methods in "common software"
- $\checkmark$  can be efficiently extended to a large class of coverage criteria